

**Model notification letter to be submitted by a UCITS to the competent
authority of its home Member State to de-notify the arrangements made for
marketing as regards units in a host Member State under Article 93a(2)
Directive 2009/65/EC**

DE-NOTIFICATION OF THE ARRANGEMENTS MADE FOR MARKETING UNITS OF A UCITS IN
ANOTHER MEMBER STATE IN ACCORDANCE WITH ARTICLE 93a(2) OF DIRECTIVE 2009/65/EC.

IN _____
(the host Member State(s))

Table of contents

PART 1 Information on the management company or internally managed UCITS.....	2
PART 2 Information on the UCITS.....	4
PART 3 Blanket offer for the repurchase or redemption of units held by investors in the host Member State State	5
Section 1. Identification of the entity making the blanket offer	5
Section 2. Characteristics of the blanket offer	5
PART 4 Intention to terminate arrangements made for marketing the units of UCITS	5

PART 1
Information on the management company or internally managed UCITS

Information on the management company or internally managed UCITS	
Name of the management company or internally managed UCITS	
Management company or internally managed UCITS' LEI	
National identification code of the management company or internally managed UCITS (if available)	
Management company's or internally managed UCITS' home Member State	
Address and registered office/domicile, if different from address	
Details of management company's or internally managed UCITS' website	

Contact details of the person or department responsible for the notification letter within the management company or internally managed UCITS	
Name of the person or department	
Telephone number	
E-mail address	

Details of the third party (if a third party is designated by the management company to make the notification)	
Name of the third party	
Address and registered office/domicile, if different from address	
Name of the person or department to be contacted	
Telephone number	
E-mail address	

Contact point for the transmission of the invoice or for the communication of any applicable regulatory fee or charges (if applicable)¹	
Name of the entity	
Address and registered office/domicile, if different from address	
Name of the person or department to be contacted	
Telephone number	
E-mail address	

Please specify which email address provided in this section (contact point at the management company or internally managed UCITS, contact point within the appointed third party or contact point for the transmission of the invoice) is the	
---	--

¹ Please indicate a single contact point for the transmission of the invoice or for the communication of any applicable regulatory fee or charge referred to in Article 9 of Regulation (EU) 2019/1156 and in Article 2 of the Commission Implementing Regulation (EU) 2021/955. This contact point may be the same as the contact point designated within the management company or internally managed UCITS, or a contact point within an appointed third party.

preferred address to which the host NCA can transmit any confidential information (inter alia, login and password to access the national systems for reporting)	
---	--

PART 2
Information on the UCITS

Please fill the below table with information for each UCITS for which de-notification of arrangements made for marketing units in the host Member State is envisaged, creating a new table for each UCITS and by indicating only one share class (name and ISIN) on each line. In the case of umbrella UCITS, references to UCITS in the table below shall be understood as referring to the compartment to be de-notified in the host Member State and not the umbrella UCITS.

Name of the UCITS to be de-notified in the host Member State	UCITS home Member State	Legal form of the UCITS ⁽¹⁾	LEI of the UCITS	Name of share class(es) to be de-notified in the host Member State ⁽²⁾	ISIN of share class(es) to be de-notified in the host Member State ⁽²⁾	National identification code of the UCITS	Effective date on which the de-notification takes effect

(1) The legal form is one of the following: common fund, unit trust, investment company, or any other legal form available under the national legislation of the UCITS home Member State.

(2) Please list only those share classes for which de-notification of arrangements made for marketing is intended.

Part 3

Blanket offer for the repurchase or redemption of units held by investors in the host Member State

Does the de-notified UCITS have investors in the host Member State? Yes ☐ No ☐ Information not available ☐

If the answer to this question is either "Yes" or "Information not available", this Part has to be filled. If the answer to this question is "No", this Part does not have to be filled.

Section 1. Identification of the entity making the blanket offer

Please provide the following information in relation to the blanket offer made to repurchase or redeem, free of any charges or deductions, all units held by investors in the host Member State.

Is the blanket offer addressed to investors by the management company of the UCITS or the internally managed UCITS? Yes ☐ No ☐

If the blanket offer is addressed to investors through a financial intermediary, please specify the following information.

The blanket offer will be addressed to investors by:

- ☐ A credit institution
☐ An authorised investment firm or adviser
☐ Another entity, including an entity located in a third country. Please specify:

Section 2. Characteristics of the blanket offer

Please indicate the dates, which represent a period of at least 30 working days, during which the blanket offer for the repurchase or redemption of the UCITS will be available	
Please provide details on the means to be used to reach individually all investors holding units in the UCITS (e.g., through emails, phone calls, letter, etc.)	

Part 4

Intention to terminate arrangements made for marketing the units of UCITS

Please provide the following information for each UCITS, compartment or share class for which the de-notification of arrangements made for marketing is envisaged.

Please provide details on how the intention to terminate arrangements made for marketing units of UCITS in the host Member States will be	
---	--

published, including any website, press article or advertisement	
--	--

_____ (*name of the signatory*) hereby confirms that the information above is correct, that the price offered to investor for the redemption or repurchase of their units is free of any charge or deduction, and that the arrangements made with financial intermediaries or delegates are amended or terminated as of the date of de-notification in order to prevent any new or further marketing in _____ (*host Member State*).

Date	
Name and capacity of the signatory	
Signature	