

**STATEMENT ON THE AUTHORISATION OF AN AIFM UNDER ARTICLE 33(4) OF DIRECTIVE
2011/61/EU (Management of EU AIFs established in other Member States
and provision of services in other Member States)**

STATEMENT ESTABLISHED BY _____
(name of the competent authorities of the AIFM home Member State)

Section 1. Department responsible for the establishment of the statement within the competent authority

Name of the department	
Address of the NCA	
E-mail address of the department establishing the statement	

Section 2. Identification of the AIFM

Name of the AIFM	
AIFM LEI	
National identification code of the AIFM (if available)	
AIFM home Member State	
Address and registered office/domicile if different from address	
Duration of the AIFM	
Details of AIFM's website	

Section 3. Scope of the authorisation of the AIFM

The AIFM has been authorised in _____ (*name of the home Member State*) to carry out the following activities and provide the following services by _____ (*name of the home NCA*):

Investment management

- Portfolio management
- Risk management

Administration

- Legal and fund management accounting services
- Customer inquiries
- Valuation and pricing (including tax returns)
- Regulatory compliance monitoring
- Maintenance of unit-holder register
- Distribution of income
- Unit issues and redemptions
- Contract settlements (including certificate dispatch)
- Record keeping

Marketing

Activities related to the assets of AIFs, namely services necessary to meet the fiduciary duties of the AIFM, facilities management, real estate administration activities, advice to undertakings

on capital structure, industrial strategy and related matters, advice and services relating to mergers and the purchase of undertakings and other services connected to the management of the AIF and the companies and other assets in which it has invested

Investment and non-core services

- Management of portfolios of investments, including those owned by the pension funds and institutions for occupational retirement provision in accordance with Article 32 of Directive 2016/2341/EU, in accordance with mandates given by investors on a discretionary, client-by-client basis
- Investment advice
- Safekeeping and administration in relation to units of collective investment undertakings
- Reception and transmission of orders in relation to financial instruments

The authorisation of the AIFM is limited to the management of AIFs with the following investment strategy (<i>if applicable</i>)	
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(The statement shall be signed and dated by a representative of the competent authority of the AIFM home Member State in a manner that is accepted for the certification by that authority. The signatory shall state his or her full name and capacity)

Date	
Name and capacity of the signatory	
Signature	